I here certify that this correspondence is being filed by deposing it with the United States Postal Service as first as mail in an envelope with sufficient postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

PATENT

9-14-0

Paper No.

File: NwMrkt-P1-98

SEP 0 5 2004

Technology Center 2100

Date: August 28, 200

Signed: Beter K. Trzyna (Beg. No.3) 601)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

:

Anthony F. Herbst and Wayne F. Perg

Serial No.

:

09/197,908

Filed

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November 23, 1998

For

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DIGITAL COMPUTER SYSTEM AND METHODS FOR MANAGING AN AUCTION MARKET FOR PREFERRED-

RETURN SECURITIES

Group Art Unit

:

2761

Examiner

Retta Yehdega

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

PETITION FOR EXTENSION OF TIME

SIR:

This is a Petition for Extension of Time for one month to respond to the Office Action mailed March 2, 2001, in the above-referenced patent application. If additional time is necessary, this Petition is to be deemed a Petition for such time as necessary to accept the Amendment and Response filed herewith.

The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

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Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: HUGUST 28, 2

Peter K. Trzyna

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